

MAIL STOP - PCT  
Docket No.: 27598U

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: PALMER, et al.

Art Unit: XX

Appl. No.: 10/591,957

Examiner: XX

Appl. Filing Date: September 8, 2006

Intl. Appl. No.: PCT/EP2005/051211

Intl. Appl. Filing Date: March 16, 2005

For: **TRICYCLIC IMIDAZOPYRIDINES**

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

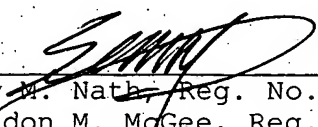
Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

1. Submission of Documents to Supplement Filing Documents under 35 USC 371;
2. PCT/IB/373 (International Preliminary Report on Patentability); and
3. PCT/ISA/237 (Written Opinion of the International Searching Authority).

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account Number 14-0112.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

November 6, 2006

  
Gary M. Nath, Reg. No. 26,965  
Sheidon M. McGee, Reg. No. 50,454  
Customer No. 34375

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For: **TRICYCLIC IMIDAZOPYRIDINES**

SUBMISSION OF DOCUMENTS  
TO SUPPLEMENT FILING DOCUMENTS UNDER 35 USC 371

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

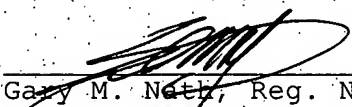
In order to supplement the filing documents for the national phase filing Under USC 371 commenced on September 8, 2006, applicant now submits the following documents:

1. PCT/IB/373 (International Preliminary Report on Patentability); and
2. PCT/ISA/237 (Written Opinion of the International Searching Authority).

Please charge any deficiency or credit any overpayment to our Deposit Account Number 14-0112.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

November 6, 2006

  
Gary M. Nath, Reg. No. 26,965  
Sheldon M. McGee, Reg. No. 50,454  
Customer No. 34375

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# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference 1233WOORD01	<b>FOR FURTHER ACTION</b>	See item 4 below
International application No. PCT/EP2005/051211	International filing date ( <i>day/month/year</i> ) 16 March 2005 (16.03.2005)	Priority date ( <i>day/month/year</i> ) 17 March 2004 (17.03.2004)
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237		
Applicant ALTANA PHARMA AG		

1. This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 *bis*.1(a).

2. This REPORT consists of a total of 16 sheets, including this cover sheet.

In the attached sheets, any reference to the written opinion of the International Searching Authority should be read as a reference to the international preliminary report on patentability (Chapter I) instead.

3. This report contains indications relating to the following items:

- |                                     |              |   |
|-------------------------------------|--------------|---|
| <input checked="" type="checkbox"/> | Box No. I    | Basis of the report   |
| <input type="checkbox"/>            | Box No. II   | Priority  |
| <input checked="" type="checkbox"/> | Box No. III  | Non-establishment of opinion with regard to novelty, inventive step and industrial applicability  |
| <input checked="" type="checkbox"/> | Box No. IV   | Lack of unity of invention  |
| <input checked="" type="checkbox"/> | Box No. V    | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |
| <input type="checkbox"/>            | Box No. VI   | Certain documents cited   |
| <input type="checkbox"/>            | Box No. VII  | Certain defects in the international application  |
| <input type="checkbox"/>            | Box No. VIII | Certain observations on the international application   |

4. The International Bureau will communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44bis .2).

Date of issuance of this report  
19 September 2006 (19.09.2006)

Authorized officer

Ellen Moyse

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The International Bureau of WIPO  
34, chemin des Colombettes  
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Form PCT/IB/373 (January 2004)

# PATENT COOPERATION TREATY

REC'D 21 NOV 2005

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From the  
INTERNATIONAL SEARCHING AUTHORITY

To:

see form PCT/ISA/220

PCT

## WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43bis.1)

Date of mailing  
(day/month/year) see form PCT/ISA/210 (second sheet)

Applicant's or agent's file reference  
see form PCT/ISA/220

**FOR FURTHER ACTION**  
See paragraph 2 below

International application No.  
PCT/EP2005/051211

International filing date (day/month/year)  
16.03.2005

Priority date (day/month/year)  
17.03.2004

International Patent Classification (IPC) or both national classification and IPC  
C07D491/14, A61K31/437

Applicant  
ALTANA PHARMA AG

**1. This opinion contains indications relating to the following items:**

- ☒ Box No. I Basis of the opinion
- ☐ Box No. II Priority
- ☒ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- ☒ Box No. IV Lack of unity of invention
- ☒ Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- ☐ Box No. VI Certain documents cited
- ☐ Box No. VII Certain defects in the international application
- ☐ Box No. VIII Certain observations on the international application

**2. FURTHER ACTION**

If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA"). However, this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1 b/s(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of three months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

**3. For further details, see notes to Form PCT/ISA/220.**

Name and mailing address of the ISA:



European Patent Office  
D-80298 Munich  
Tel. +49 89 2399 - 0 Tx: 523656 epmu d  
Fax: +49 89 2399 - 4465

Authorized Officer

Fink, D

Telephone No. +49 89 2399-8701



**WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY**

International application No.  
PCT/EP2005/051211

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**Box No. I Basis of the opinion**

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1. With regard to the **language**, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
  - ☐ This opinion has been established on the basis of a translation from the original language into the following language , which is the language of a translation furnished for the purposes of international search (under Rules 12.3 and 23.1(b)).
2. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:
  - a. type of material:
    - ☐ a sequence listing
    - ☐ table(s) related to the sequence listing
  - b. format of material:
    - ☐ in written format
    - ☐ in computer readable form
  - c. time of filing/furnishing:
    - ☐ contained in the international application as filed.
    - ☐ filed together with the international application in computer readable form.
    - ☐ furnished subsequently to this Authority for the purposes of search.
3. ☐ In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4. Additional comments:

**WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY**

International application No.  
PCT/EP2005/051211

**Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non obvious), or to be industrially applicable have not been examined in respect of:

- ☐ the entire international application,  
☒ claims Nos. 1-8 (all partly), 9, 10 (partly), 11 (partly), 12, 13, 14 (partly), 15-19, 20 (partly), 21 (partly)

because:

- ☒ the said international application, or the said claims Nos. 21 (as regards industrial applicability) relate to the following subject matter which does not require an international preliminary examination (*specify*):

see separate sheet

- ☐ the description, claims or drawings (*indicate particular elements below*) or said claims Nos. are so unclear that no meaningful opinion could be formed (*specify*):
- ☐ the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.
- ☒ no international search report has been established for the whole application or for said claims Nos. 1-8 (all partly), 9, 10 (partly), 11 (partly), 12, 13, 14 (partly), 15-19, 20 (partly), 21 (partly)
- ☐ the nucleotide and/or amino acid sequence listing does not comply with the standard provided for in Annex C of the Administrative Instructions in that:
- |                            |  |
|----------------------------|--|
| the written form           | <input type="checkbox"/> has not been furnished            |
|                            | <input type="checkbox"/> does not comply with the standard |
| the computer readable form | <input type="checkbox"/> has not been furnished            |
|                            | <input type="checkbox"/> does not comply with the standard |
- ☐ the tables related to the nucleotide and/or amino acid sequence listing, if in computer readable form only, do not comply with the technical requirements provided for in Annex C-bis of the Administrative Instructions.
- ☐ See separate sheet for further details

**WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY**

International application No.  
PCT/EP2005/051211

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**Box No. IV Lack of unity of invention**

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1. ☒ In response to the invitation (Form PCT/ISA/206) to pay additional fees, the applicant has:
- ☐ paid additional fees.
  - ☐ paid additional fees under protest.
  - ☒ not paid additional fees.
2. ☐ This Authority found that the requirement of unity of invention is not complied with and chose not to invite the applicant to pay additional fees.
3. This Authority considers that the requirement of unity of invention in accordance with Rule 13.1, 13.2 and 13.3 is
- ☐ complied with
  - ☒ not complied with for the following reasons:  
**see separate sheet**
4. Consequently, this report has been established in respect of the following parts of the international application:
- ☐ all parts.
  - ☒ the parts relating to claims Nos. 1-8 (all partly), 10 (partly), 11 (partly), 14 (partly), 20 (partly), 21 (partly)

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**Box No. V Reasoned statement under Rule 43b/s.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

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1. Statement

Novelty (N)	Yes: Claims	1-8 (all partly), 10 (partly), 11 (partly), 14 (partly), 20 (partly), 21 (partly)
	No: Claims	
Inventive step (IS)	Yes: Claims	
	No: Claims	1-8, 10, 11, 14, 20, 21
Industrial applicability (IA)	Yes: Claims	1-8 (all partly), 10 (partly), 11 (partly), 14 (partly), 20 (partly)
	No: Claims	

2. Citations and explanations

**see separate sheet**

**Re Item III.**

1. The present **claim 21** relates to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT.

Consequently, no opinion will be formulated with respect to industrial applicability of the subject-matter of this claim.

[ For the assessment of the aforesaid claim on the question whether it is industrially applicable, no unified criteria exist in the PCT. The patentability can also be dependent upon the formulation of the claims. The EPO, for example, does not recognize as industrially applicable the subject-matter of claims to the use of a compound in medical treatment, but will allow, however, claims to a (known) *compound for first use in medical treatment* and the *use of such a compound for the manufacture of a medicament* for a new medical treatment. ]

2. The present application was found to be *non-unitary* in the sense of Rule 13 PCT (see, the **item IV** below).

The search has therefore been limited to the first present invention, i.e. to the compounds of the present claim 1 wherein the group R2 is *hydroxy-3-4-C-alkenyl* or *hydroxy-3-4C-alkinyl*.

Accordingly, the Partial International Search Report (PISR) was only complete with respect to the present claims 1-8 (all partly), 10 (partly), 11 (partly), 14 (partly), 20 (partly) and 21 (partly).

As the PISR forms the basis of the present Written Opinion, the following statement on the patentability of the present subject-matter can only be regarded to be complete in respect of the said **claims 1-8 (all partly), 10 (partly), 11 (partly), 14 (partly), 20 (partly) and 21 (partly)**.

In so far as the following letter refers to claims 1-8, 10, 11, 14, 20 and 21, it should only be taken to refer to the searched scope of these claims.



**Re Item IV.**

The present application lacks unity within the meaning of Rule 13 PCT for the following reasons:

The document WO-A-03/014123 (**D1**) - which represents the **closest prior art** - discloses (cf., pages 26-27, claim 1) i.a. 2,3-disubstituted 9-phenyl-7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine-6-carboxylic acid amides which are said to have *gastric acid secretion-inhibitory* activity (cf., page 29, claim 8; and page 24, table A).

More specifically, **D1** teaches, for instance, the compound 2,3-Dimethyl-9-phenyl-7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine-6-carboxylic acid dimethylamide (see, the example 3 on pages 13-14) which is excluded from the present **claims 1-3** by way of proviso.

In the light of **D1**, the **problem** underlying the present application resides in the provision of further (alternative) *gastric acid secretion-inhibitors* of the 9-phenyl-7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine type.

Accordingly, the present application proposes the 3- and/or 6- substituted 9-Arom-7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine derivatives of the present formula (1) in order to **solve** the given problem.

The only structural feature discernible, which is **shared by all** of the compounds of the formula (1) according to the present claim 1 is the

**6-(R3)-9-Arom-7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine**

moiety (wherein R3 and Arom are as defined in the present claim 1).

The document **D1**, however, already describes such 6-substituted 9-phenyl-7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine compounds (cf., for example, the 2,3-Dimethyl-9-phenyl-7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine-6-carboxylic acid dimethylamide of the example 3 of **D1**) *for the same use* as the compounds according to the present application.

As the only structural feature which is **common to all** of the present compounds (i.e. the 6-(R3)-9-Arom-7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine moiety) is **not novel** (cf. **D1**), this structural feature cannot represent the "special technical feature" within the meaning of Rule 13.2 PCT.

The present application thus relates to different solutions to the given technical problem (i.e., the provision of further gastric acid secretion-inhibitors) which are not linked by a single general inventive concept as set forth in Article 13 PCT.

Hence the Search Division considers that the following **21** separate inventions or groups of inventions are not so linked as to form a single general inventive concept:

1. the compounds of the present claim 1 wherein the group R2 is **hydroxy-3-4-C-alkenyl** or **hydroxy-3-4C-alkinyl** (which differ from the compounds of **D1** in that they have a 3-(hydroxy-3-4-C-alkenyl//alkinyl) group rather than a 3-(hydroxy-1-4C-alkyl) group (cf., claim 1 of **D1**));

2. the compounds of the present claim 1 wherein the group R2 is **hydroxy** or **1-4C-alkoxy** (which differ from the compounds of **D1** in that they have a 3-oxy-substituent rather than a 3-(1-4C-alkyl) group (cf., claim 1 of **D1**));
3. the compounds of the present claim 1 wherein the group R2 is **amino**, **mono-** or **di-1-4C-alkylamino**, **1-4C-alkylcarbonylamino**, **1-4C-alkoxy-carbonylamino**, or **1-4C-alkoxy-1-4C-alkoxycarbonylamino** (which differ from the compounds of **D1** in that they have a 3-amino-substituent rather than a 3-(1-4C-alkyl) group (cf., claim 1 of **D1**));
4. the compounds of the present claim 1 wherein the group R2 is **carboxyl** (which differ from the compounds of **D1** in that they have a 3-carboxyl group rather than a 3-(1-4C-alkoxycarbonyl) group (cf., claim 1 of **D1**));
5. the compounds of the present claim 1 wherein the group R2 is **mono-** or **di-1-4C-alkylamino-1-4C-alkyl** (which differ from the compounds of **D1** in that they have a 3-(alkylamino-1-4-C-alkyl) group rather than a 3-(hydroxy-1-4C-alkyl) group (cf., claim 1 of **D1**));
6. the compounds of the present claim 1 wherein the group R2 is **1-4C-alkylcarbonyl**, **2-4C-alkenylcarbonyl**, or **2-4C-alkinylcarbonyl** (which differ from the compounds of **D1** in that they have a 3-acyl group rather than a 3-(1-4C-alkyl) group (cf., claim 1 of **D1**));
7. the compounds of the present claim 1 wherein the group R2 is the radical **-CO-NR21R22** (which differ from the compounds of **D1** in that they have a 3-carbamoyl group rather than a 3-(1-4C-alkoxycarbonyl) group (cf., claim 1 of **D1**));

8. the compounds of the present claim 1 wherein the group R2 is as defined in **D1**, and R3 is **1-4C-alkylcarbonyl** (which differ from the compounds of **D1** in that they have a 6-(1-4C-alkylcarbonyl) group rather than a 6-(1-4C-alkoxycarbonyl) group (cf., claim 1 of **D1**));
9. the compounds of the present claim 1 wherein the group R2 is as defined in **D1**, and R3 is **cyano** (which differ from the compounds of **D1** in that they have a 6-cyano group rather than a 6-carbamoyl group (cf., claim 1 of **D1**));
10. the compounds of the present claim 1 wherein the group R2 is as defined in **D1**, and R3 is the radical **-CO-NR31R32** wherein R31 is **amino** (which differ from the compounds of **D1** in that they have a 6-hydrazinocarbonyl group rather than a 6-carbamoyl group (cf., claim 1 of **D1**));
11. the compounds of the present claim 1 wherein the group R2 is as defined in **D1**, and R3 is the radical **-CO-NR31R32** wherein R31 is **hydroxy** or **1-4C-alkoxy** (which differ from the compounds of **D1** in that they have a 6-(N-(hydroxy / 1-4C-alkoxy)carbamoyl) group rather than a 6-carbamoyl group (cf., claim 1 of **D1**));
12. the compounds of the present claim 1 wherein the group R2 is as defined in **D1**, and R3 is the radical **-CO-NR31R32** wherein R31 is **3-7C-cycloalkyl** (which differ from the compounds of **D1** in that they have a 6-(N-(3-7C-cycloalkyl)carbamoyl) group rather than a 6-(N-(1-7C-alkyl)carbamoyl) group (cf., claim 1 of **D1**));
13. the compounds of the present claim 1 wherein the group R2 is as defined in **D1**, and R3 is the radical **-CO-NR31R32** wherein R31 is **1-4C-alkylsulfonyl**, **arylsulfonyl**, or **aryl-1-4C-alkylsulfonyl** (which differ from the compounds of **D1** in that they have a 6-(sulfonylaminocarbonyl) group rather than a 6-carbamoyl group

(cf., claim 1 of **D1**));

14. the compounds of the present claim 1 wherein the group R2 is as defined in **D1**, and R3 is the radical **-CO-NR31R32** wherein R31 is *aryl* (which differ from the compounds of **D1** in that they have a 6-(N-(*aryl*)carbamoyl) group rather than a 6-(N-(1-7C-*alkyl*)carbamoyl) group (cf., claim 1 of **D1**));
15. the compounds of the present claim 1 wherein the group R2 is as defined in **D1**, and R3 is the radical **-CO-NR31R32** wherein R31 and R32 together and including the nitrogen atom to which they are attached form a *pyrrolidino*, *piperidino*, or *morpholino* radical which is *substituted by R33, R34, and R35* where at least one of the substituents R33, R34, or R35 has to be *different from hydrogen* (which differ from the compounds of **D1** in that they have a 6-((*substituted* pyrrolidino/piperidino/morpholino)carbonyl) group rather than a 6-((*unsubstituted* pyrrolidino/piperidino/morpholino) carbonyl) group (cf., claim 1 of **D1**));
16. the compounds of the present claim 1 wherein the group R2 is as defined in **D1**, and R3 is the radical **-CO-NR31R32** wherein R31 and R32 together and including the nitrogen atom to which they are attached form a *piperazino* radical (which differ from the compounds of **D1** in that they have a 6-(*piperazinocarbonyl*) group rather than a 6-(*morpholinocarbonyl*) group (cf., claim 1 of **D1**));
17. the compounds of the present claim 1 wherein the group R2 is as defined in **D1**, and R3 is the radical **-CO-NR31R32** wherein R31 and R32 together and including the nitrogen atom to which they are attached form a *aziridino* or *azetidino* radical (which differ from the compounds of **D1** in that they have a 6-((*aziridino/azetidino*)carbonyl) group rather than a 6-((*pyrrolidino*)carbonyl) group (cf., claim 1 of **D1**));

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INTERNATIONAL SEARCHING  
AUTHORITY (SEPARATE SHEET)

International application No.

PCT/EP2005/051211

18. the compounds of the present claim 1 wherein the group R2 is as defined in **D1**, and R3 is the radical **-SO<sub>2</sub>-NR31R32** (which differ from the compounds of **D1** in that they have a 6-sulfamoyl group rather than a 6-carbamoyl group (cf., claim 1 of **D1**));
19. the compounds of the present claim 1 wherein the group R2 is as defined in **D1**, and R3 is the radical **-CS-NR31R32** (which differ from the compounds of **D1** in that they have a 6-thiocarbamoyl group rather than a 6-carbamoyl group (cf., claim 1 of **D1**));
20. the compounds of the present claim 1 wherein the group R2 is as defined in **D1**, and R3 is the radical **-C=N(OH)-NR31R32** (which differ from the compounds of **D1** in that they have a 6-(N-hydroxyamidino) group rather than a 6-carbamoyl group (cf., claim 1 of **D1**));
21. the compounds of the present claim 1 wherein the group R2 is as defined in **D1**, and R3 is the group **Het** (which differ from the compounds of **D1** in that they have a 6-(5-membered N-containing heterocycyl) group rather than a 6-carbamoyl group (cf., claim 1 of **D1**));

(The different inventions / groups of inventions were formulated in the order chosen by the Applicant). The separate inventions/groups of inventions are:

Re Item V.

The following documents (D) are considered to be relevant:

- D1: ..... WO-A-03/014123 (20 February 2003);  
D2: ..... WO-A-95/27714 (19 October 1995);  
D3: ..... *Journal of Medicinal Chemistry* 28(7), 876-892 (1985);

1. NOVELTY (Article 33(2) PCT):

The present application satisfies the criterion set forth in Article 33(2) PCT because the subject-matter of **claims 1-8, 10, 11, 14, 20 and 21** is new in respect of prior art as defined in the regulations (Rule 64(1)-(3) PCT):

The 7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine derivatives of the present independent **claim 1** are novel over the 7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine compounds of **D1** on account of the present **proviso** (which excludes the compounds of claim 1 of **D1**).

They are furthermore novel over **D2** (cf., claim 1 therein) on account of the present substituent group **R3** (the present 7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine derivatives have to be **substituted** at the **6-position** whereas **D2** relates to 6-**unsubstituted** 7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine compounds).

The prior art **D3** teaches (cf., the compounds of table IV) imidazo[1,2-a]pyridine derivatives. The present **7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine** are thus also novel over **D3**.

2. INVENTIVE STEP (Article 33(3) PCT):

The present application does not satisfy the criterion set forth in Article 33(3) PCT because the subject-matter of **claims 1-8, 10, 11, 14, 20 and 21** does not appear to involve an inventive step (Rule 65(1)(2) PCT):

Document **D1** - which is considered to represent the **closest prior art** teaches (cf., claim 1 therein) i.a. 2,3,6-trisubstituted 9-phenyl-7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine derivatives which are said to have *gastric acid secretion-inhibitory* activity (cf., claim 8 and page 24, table A).

More specifically, **D1** teaches, for instance, the compound *2,3-Dimethyl-9-phenyl-7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine-6-carboxylic acid dimethylamide* (see, the example 3).

The compounds of claim 1 of **D1** are excluded from the present **claim 1** by the present proviso.

In the light of **D1**, the **problem** underlying the present application resides in the provision of further (alternative) *gastric acid secretion-inhibitors* of the 7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine type.



Accordingly, the present application proposes the 3-(*hydroxy-3-4C-alkenyl* / *hydroxy-3-4C-alkynyl*)-7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine derivatives according to the present **claim 1** in order to **solve** the given problem.

This solution cannot, however, be considered to involve an inventive step (Article 33(3) PCT) for the following reasons:

As the document **D1** already teaches the *gastric acid secretion-inhibitory* activity of

- (i) 3-(**1-4C-alkyl**)-7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine and
- (ii) 3-(**2-4C-alkenyl** / **2-4C-alkynyl**)-7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine derivatives, on the one hand, and
- (iii) 3-(**hydroxy-1-4C-alkyl**)-7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine derivatives (cf., the definition of the substituent group R2), on the other hand,

it is considered that the person skilled in the art would have expected that the corresponding 3-(**hydroxy-2-4C-alkenyl** / **2-4C-alkynyl**)-7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine derivatives would also possess (some) *gastric acid secretion-inhibitory* activity.

It is therefore considered that the present solution (i.e., the 3-(*hydroxy-3-4C-alkenyl* / *hydroxy-3-4C-alkynyl*)-7H-8,9-dihydro-pyrano[2,3-c]imidazo[1,2-a]pyridine derivatives according to the present **claims 1-8, 10, 11 and 14**) has to be regarded to be **obvious** in the light of the teaching of **D1**.

Consequently, in the absence of any **unexpected / surprising effect**, the subject-matter of the present **claims 1-8, 10, 11, 14, 20 and 21** cannot be regarded to involve an inventive step as set forth in Article 33(3) PCT.

3. INDUSTRIAL APPLICABILITY (Article 33(4) PCT):

The subject-matter of the present **claims 1-8, 10, 11, 14 and 20** concerns chemical compounds and a pharmaceutical composition and is therefore considered to be industrial applicable in the sense of Article 33(4) PCT.

4. MISCELLANEOUS:

The citation of the prior art **D3** on page 1, lines 13-14 should have (also) included a reference to the *gastric antisecretory* properties of the said imidazopyridine compounds of **D3**.